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Editorial

Hierarchical Predictive Coding in Autonomous AI and Development



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The idea that the brain is pro-actively making predictions of the future at multiple levels of hierarchy has become a central topic to explain human intelligence and to design general artificial intelligence systems. In this issue, Jun Tani, who has been studying recurrent neural networks models of sensorimotor development for the last 20 years, introduces a dialog to ask whether hierarchical predictive coding enables a paradigm shift in development robotics and AI. Andy Clark, Doug Blank, James Marshall, Lisa Meeden, Stephane Doncieux, Giovanni Pezzulo, Martin Butz, Ezgi Kayhan, Johan Kwisthout and Karl Friston give their perspectives on this topic. In particular, they discuss the importance of various complementary mechanisms to predictive coding, which happen to be right now very actively researched in artificial intelligence: intrinsic motivation and curiosity, multi-goal learning, developmental stages (also called curriculum learning in machine learning), and the role of self-organization. They also underline several major challenges that need to be addressed for general artificial intelligence in autonomous robots, and that current research in deep learning fails to address: 1) the problem of the poverty of stimulus: autonomous

robots, like humans, have access to only little data as they have to collect it themselves with severe time and space constraints; 2) the problem of information sampling: which experiments/observations to make to improve one's world model. Finally, they also discuss the issue of how these mechanisms arise in infants and participate to their development.

In a new dialog initiation, Matthias Rolf, Lorijn Zaadnoordijk and Johan Kwisthout extend this discussion by asking whether and how it would be useful both epistemologically and in practice to aim towards the development of a "standard integrated cognitive architecture", akin to "standard models" in physics. In particular, they ask this question in the context of understanding development in infants, and of building developmental architectures, thus addressing the issue of architectures that not only learn, but that are adaptive themselves. Those of you interested in reacting to this dialog initiation are welcome to submit a response by November 30th, 2017. The length of each response must be between 600 and 800 words including references (contact pierre-yves.oudeyer@inria.fr).

Message From the CDS TC Chair



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As we reach the mid-point of 2017 it seems a good time to both reflect on our work this year and plan ahead.

So far this year we have discussed the addition of new goals for our technical committee and added two goals that consider machine recognition of cognitive characteristics in humans. Our technical committee goals now include:

- building machines capable of life-long adaptation and interaction with the physical and social world (existing goal)
- building machines that can model and recognise cognitive characteristics relevant to development in their human collaborators, and act accordingly to assist human activities (new goal)
- using machines as tools to better understand human and animal development and cognition (existing goal)
- using machines to support human learning and development (new goal).

In June this year, I attended the IEEE Computational Intelligence Society Technical Activities meeting in San Sebastian, Spain. News relevant to researchers in cognitive and developmental systems includes the formation of two new technical committees: the first tasked with identifying the research challenges of the future that span across the

existing Computational Intelligence Society technical committees; and the second tasked with considering the social and ethical challenges that may accompany future advances in computational intelligence. Both of these technical committees will benefit from input from CDS researchers and interested members of our community are encouraged to contact the Technical Activities vice president.

I am also aware that members of our community have contributed to recent events in human-robot interaction (at HRI 2017 Vienna, Austria) and designing for curiosity (at CHI 2017).

After the Second Workshop on Evolution in Cognition to be held at GECCO in July at GECCO (<http://gecco-2017.sigevo.org/index.html/Workshops>), we look forward to ICDL-Epirob (<http://www.icdl-epirob.org/>) in Portugal in September as well as a host of workshops including and The Third International Workshop on Intrinsically Motivated Open-ended Learning (<http://www.imol-conf.org/>) in Rome in October.

It is exciting to see the ongoing efforts of members of our community and I look forward to further expanding our technical committee and task force members in the second part of this year as we seek to develop new task forces in the area of cognitive modelling.

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Dialogue

Exploring Robotic Minds by Predictive Coding Principle



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This dialogue discusses the topic of predictive coding in developmental robotics, highlighted from my newly published book (Tani, 2016).

The book proposes that the mind is comprised of emergent phenomena, which appear via intricate and often conflictive interactions between the top-down intention for acting on the external world and the bottom-up recognition of the resultant perceptual reality. It is presumed that the skills for generating complex actions as well as knowledge and concepts for representing the world naturally develop through entangled interactions between these two processes. This hypothesis has been evaluated by conducting nearly two decades of neurobotics experiments using various recurrent neural network models based on the principle of predictive coding.

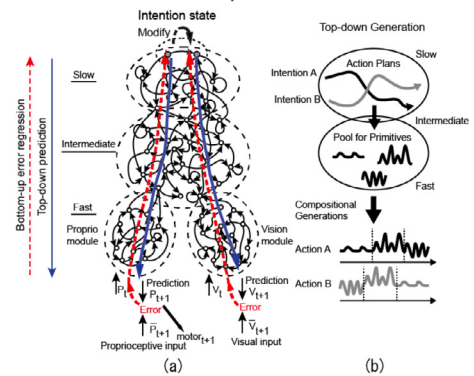
Is predictive coding a paradigm shift in developmental or learning robots?

The idea of sensory-motor mapping has dominated for a long period in the study of behavior-based robotics. However, robots based on just sensory-motor mapping schemes cannot achieve human-level thinking and acting because they should be much more proactive toward the future as well as reflective of the past. In predictive coding, the intention for an action is generated with prediction of the action's consequence. Likewise, the recognition of the actual consequence in the open environment reflects on the current intention by means of the error regression with the prediction error.

Is implementation by RNN using error backpropagation through time (BPTT) essential?

A notable advantage of RNN models is that they are differentiable. If the whole network is built on a set of modular RNNs—for instance one RNN for each sensory modality of a robot, one to learn multi-modality associations, and one for executive control—the whole also becomes differentiable. In this situation, a prediction error appearing at a particular spatio-temporal point in the perceptual flow can be distributed into the whole network retrospectively using error backpropagation through time. If the whole network activity is imposed with particular macroscopic constraints such as multiple timescales (for instance, different local subnetworks functioning at different timescales) or multiple spatial scales (for instance, different local connectivity distribution among subnetworks), some meaningful structures such as spatio-temporal hierarchy can self-organize as the result of end to end

learning on this differentiable network. This type of development by means of the downward causation cannot be expected if the whole system is composed of patchy assemblies of different computational schemes.



(a) Predictive coding implemented by multiple timescales RNN and (b) self-organization of functional hierarchy for action generation.

Is staged development essential?

It is fair to say that the recent success of deep learning is owed to a few researchers who have strongly believed for decades that the error backpropagation applied to differentiable networks is the most effective machine learning scheme. Now, we witness that convolutional neural networks, long-term and short-term memory as well as neural Turing machine built on this idea show significant learning performance by using millions of training data available on the internet.

However, this deep learning approach supported by usage of huge amount of data cannot be applied directly to developmental robots because they are constrained by the so-called poverty of stimulus, just like human infants. For both robots and infants the amount of experience in the real world is quite limited. Still at least for infants, skills and knowledge can be developed adequately with generalization even under such conditions. As pointed out by many others, it is expected that learning in one developmental stage can provide a "prior" for the one in the next stage thus drastically reducing freedom of learning. By this means, generalization with less amount of tutoring experience becomes possible. Based on this conception, developmental stage would proceed from physical embodiment levels to more symbolic ones. Tutoring should require a lengthy period wherein physical interactions between robots and tutors involve "scaffolding": guiding support provided by tutors that enables the bootstrapping of cognitive and social skills

required in the next stage.

Can robots attain free will and consciousness?

For robots built on predictive coding, action and thoughts are generated as emergent phenomena when dense interactions between the top-down and the bottom-up process are developed in circular causality. It has been shown that chaos developed in the higher cognitive levels drives the spontaneous generation of the next intentional action, which will then be modified by means of minimizing

the resultant conflictive error with the outer world (Tani, 2016). It is speculated that the spontaneity in generating the next intention by chaos might account for the unconscious generation of free will reported by Benjamin Libet whereas effortful process of minimizing the conflictive error does the same for the post-dictive conscious awareness of it. When robotic minds are built on such emergent phenomena, those robots could have subjective experiences, just like us.

Tani, J. (2016). *Exploring Robotic Minds: Actions, Symbols, and Consciousness as Self-Organizing Dynamic Phenomena.* Oxford University Press.

Precisions, Slopes, and Representational Re-description



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Jun Tani's robotic explorations reveal the power and promise of hierarchical predictive coding as a bridge linking basic forms of sensorimotor engagement with the emergence of higher and higher forms of abstraction and control. Prediction-based learning yields representational forms, at higher processing levels, that act to summarize, compress, and control, activity at lower levels. Staged development with increasing flexibility results, since the process of level-by-level re-coding make lower-level knowledge available as 'chunks' for higher-levels to 'program' (re-purpose and re-organize).

These architectures give concrete computational form to 'representational re-description'—an endogenously-driven process in which sensory information is repeatedly re-coded ('re-described') in ways that support wider and more flexible kinds of use (Karmiloff-Smith (1992)—see also Clark and Karmiloff-Smith (1993), Cleeremans (2014), and Doncieux (2015). Prediction-driven hierarchical learning results in just such a process of staged development—one in which each higher level seeks to separate out causes and regularities that govern or explain patterns extracted at the level below. This whole process—just as Karmiloff-Smith suggested—is constrained by powerful endogenous forces favoring elegance and simplicity. This is because the learning routine (see Pezzulo, Rigoli, & Friston (2015)) favors the fewest-parameter model able to deliver (across a wide variety of contexts) apt action and choice. Complexity-reducing re-descriptions will thus continue to be sought even after behavioral success has been achieved. Such systems continually work on themselves to generate better and better (more powerful, less complex) models.

It is interesting to consider the potential (and potentially synergistic) influence of some potent additional elements prominent elsewhere in the literature on the 'predictive brain' (for a review, see Clark (2016)). One such is the variable 'precision-weighting' of the prediction error signal. Precision-weighting reflects the self-estimated reliability, for a given task in a given context, of specific prediction error signals. Increasing precision means increasing the post-synaptic gain or 'volume' on select prediction error signals, thus temporarily accentuating their influence. On a foggy day (to take a common example) this would enable the system to increase the influence of auditory information and to reduce the impact of incoming visual evidence, allowing a greater-than-usual role for top-down visual prediction.

Estimated precision also helps determine the nature and locus of control (Pezzulo et al. (2015)). 'Habitual' control occurs when reliable (precise) sensory prediction error is rapidly resolved at lower levels of the processing hierarchy. More reflective means of control occur when precise (salient, reliable) prediction error arises and is resolved at higher levels of processing. Variable precision-weighting would thus enable the selection of which 'representational re-description' should control behavior at a given moment. An important research horizon is to better understand forms of control (realized as top-down predictions) that entrain temporally extended sequences of inputs, so as to sustain long-term plans and projects of the kind we associate with distinct human agents. Distinctively human forms of conscious experience may emerge only when we ourselves turn up as 'control elements' in long-term predictive models governing our own future

actions (see our ongoing project at www.xspect.org).

Another potent additional element may be the slope of prediction—error minimization itself. An emerging proposal is that an adaptively valuable strategy is to seek out situations in which the slope of minimization of prediction error is itself maximized (Oudeyer and Smith (2016), Joffily & Coricelli (2013), Miller and Clark (forthcoming)). This may help bring valence and emotion into the picture. The idea is that these track the rate at which prediction errors are being minimized relative to expectations. When error is minimized at a greater rate than expected, positive valence results. Such agents will actively seek out good learning situations—‘sweet spot’ learning environments, where they can significantly improve their predictive model of some salient aspect of the world.

Clark, A. (2016) *Surfing Uncertainty: Prediction, Action, and the Embodied Mind* (Oxford University Press, NY)
Clark, A. and Karmiloff-Smith A. (1993) *The Cognizers Innards Mind And Language* 8: 4: 487-519
Cleeremans, A. (2014). Connecting conscious and unconscious processing. *Cognitive Science*, 38(6), 1286–1315.
Doncieux, S. (2015) “Representational redescription: the next challenge?” *CDS TC Newsletter* 12:
Joffily M., and Coricelli G. (2013) Emotional Valence and the Free-Energy Principle. *PLoS Comput Biol* 9(6): e1003094.

Finally, perhaps it is not just the slope but the location (within the predictive hierarchy) of ‘better-than-expected’ prediction error minimization that matters. In a re-descriptive hierarchy, unexpectedly resolving prediction errors occurring at the higher levels will often signal a kind of ‘falling into place’ in which multiple tensions and inconsistencies are resolved at a single stroke—as when we suddenly succeed in seeing the hidden image in a ‘magic eye’ (autostereogram) display, or spot a mathematical derivation linking one body of results to another. Positive valence would then track not merely the rate, or the quantity, of prediction error minimization (relative to expectations) but also the quality.

This work was supported by ERC Advanced Grant XSPECT - DLV-692739

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Oudeyer, P-Y. and Smith, L. (2016) How Evolution may work through Curiosity-driven Developmental Process *Topics in Cognitive Science*, 1-11.
Pezzulo, G., Rigoli, F., & Friston, K. (2015). Active Inference, homeostatic regulation and adaptive behavioural control. *Progress in Neurobiology*, 134, 17–35.

A Developmental Robotics Manifesto

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We are largely in agreement with Tani’s approach to developmental robotics as elucidated in this dialog and his recent book. The basic assumptions inherent in his approach, such as that agents are embodied in the world and that neural systems are capable of complex learning, are now established wisdom. Although this has been a relatively recent shift in AI and Cognitive Science, we consider these underlying assumptions to be a given and thus do not address them further. Here we expand on Tani’s questions and offer a broader set of principles for guiding developmental robotics research.

The learning system should be capable of taking advantage of the world’s structure and continuity.

For any specific learning algorithm, there will always be some types of problems that cannot be easily learned. Thus one should choose a learning method that best matches the domain. Our world is a highly structured and largely continuous environment through time and space. Because of these regularities, we should use those learning systems that can exploit the gradients between similar situations. Gradient descent procedures, such as backpropagation, are well suited for domains

of this type.

In addition, an embodied agent moving through the real world typically needs to execute a sequence of actions in order to achieve its current goal or plan. Recurrent neural networks are able to construct representations of sequential memory using gradient descent procedures. Thus, Tani’s approach of using BPTT applied to a recurrent neural system clearly meets our guidelines. However, we do not want to commit to any particular architecture or technique, even though we use very similar implementations in our own research.

Intrinsic motivation and the ability to make predictions and abstractions should be innate.

Developmental robotic agents are confronted by a dynamically changing and immensely complex world, which is only partially predictable. An agent’s sensory systems provide a ceaseless flood of multimodal information about the surrounding environment. Initially, a robotic agent has no understanding of the relationship between its sensors and the world, nor how its actions affect its sensors. Intrinsic motivation imbues the robot with curiosity and a desire to learn, which



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guides the robot in seeking out a low-level understanding of itself. At this stage, moment-to-moment sensory predictions about the outcomes of actions drive the robot to make low-level abstractions. Even though the system will never be able to perfectly predict the world, attempting to predict it will generate an error signal that gives the robot useful information. This information can be exploited in a variety of ways, for example to measure learning progress, to trigger attention, or to recognize sources of variability, which could include other agents.

Intelligence emerges through bottom-up and top-down interactions.

Once the robot has developed a bottom-up understanding of how its sensors and actions interact, and has created low-level abstractions based on this understanding, higher-order predictions and chunked abstractions can emerge. To be useful, meaning must be extracted from the sensory stream, in a continuous process that filters out enormous amounts of noisy, extraneous, redundant, or irrelevant information, depending on the situation at hand, and a coherent, abstract interpretation of the situation must be constructed.

This abstract interpretation can be bootstrapped from the knowledge gained at the lower levels. First, a higher level would learn to predict the sequence of states that occur at a lower level, leading to the development of its own higher-level abstractions. We note that these higher-level abstractions can be much

more sophisticated than merely predicting one's own sensor readings. For example, a system could compare possible future action sequences in a type of counterfactual exploration. A higher level could then manipulate the sequence of states at the lower level in order to achieve a chosen goal.

This process proceeds simultaneously on many levels of abstraction, and gradually, through development, becomes ever more efficient over the lifetime of the agent, as its knowledge of the world increases and it learns to better exploit that knowledge in pursuit of its goals.

We believe that continual, sustained, and interacting pressures will be necessary to create a system of lifelong learning. Over time, such an emergent, self-ratcheting system will have the potential to achieve robust levels of intelligent behavior in dynamic, unpredictable environments.

Conclusions

Returning to Tani's primary questions: we see predictive coding as essential; BPTT as a promising approach, though not essential; staged development as emergent; and foresee that by following the philosophy outlined above, robots could one day be conscious. We believe that Tani's work is a valuable contribution to better understanding the potential of developmental robotics, and, if combined with self-motivation and self-ratcheting pressures, is firmly in line with our manifesto.



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The Challenges and Pitfalls of Emergence in Developmental Robotics

Cognitive development can be studied from different perspectives, may it be, for instance, dynamic systems or Bayesian learning (Newcombe, 2013). Connectionism is one of them and follows the empiricist perspective of Locke in which the baby starts its development with very little knowledge ('tabula rasa') and builds itself through his interaction with the environment. It leads to a notion that is fundamental for such approaches: emergence. After interaction, new features, may they be functions or representations, are expected to appear in the system whereas they were not built in it initially. Emergence is a structuring principle of the connectionist view of development. It frames the discussions and has a significant impact on the approaches that are considered and those that are avoided. Tani's work fits in the connectionist view of cognition and his questions need to be placed in this particular context.

Predictive coding is a theory proposed to reconcile bottom-up and top-down approaches Tani (2016). In this framework, predictions are made to give a meaning to the complexity of the perceptions. The discrepancies between the two can drive a learning process towards a better matching. It is clearly not a paradigm shift in general, as many approaches rely on models to predict the effect of actions, model-based reinforcement learning, for instance Kaelbling et al. (1996). From a neuroscience point of view, the brain itself is known for long to be a prediction machine (Bubic et al., 2010). If predictive coding is a paradigm shift, it is then from the perspective of the emergent paradigm of connectionism as used in robotics.

Staged development allows to progressively acquire information and bootstrap new capabilities when the required knowledge has been built. According to Piaget and to



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the other constructivists, this is an important feature of human development. As Tani asks, is it essential for a robot to develop? It is hard to demonstrate, but this approach has an important methodological advantage: it allows to decompose the developmental process and study it piece by piece. It raises anyway some challenges for a purely connectionist approach focused on emergence. How would the different stages emerge and structure themselves in a large neural network? These questions actually raise a critical challenge with respect to a connectionist view of development in robotics: the challenge of the methodology to follow to answer such questions. Contrary to what happens in nature, a scientist working in this field has motivations. They are of two different kinds: helping understand how the brain works or building robots with new capabilities. In both cases, researchers are expecting their robot, may it be real or simulated, to behave in a certain way after a certain amount of computation that is bounded by their computational resources and the time they spend on the study. These expectations are important and required to get a work worth to be published. It is hard to avoid as these expectations will drive the choice of the tools to use to analyse the system. These tools will drive the design, allow to fix bugs and compare robot's behavior to biological data for a neuroscience work or to alternative approaches for a robotics work.

Andreja Bubic, D. Yves Von Cramon, and Ricarda Schubotz. Prediction, cognition and the brain. *Frontiers in Human Neuroscience*, 4:25, 2010. ISSN 1662-5161. doi: 10.3389/fnhum.2010.00025. URL <http://journal.frontiersin.org/article/10.3389/fnhum.2010.00025>.
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Jun Tani. Exploring robotic minds: actions, symbols, and consciousness as self-organizing dynamic phenomena. Oxford University Press, 2016.

These methods make it hard to deal with emergence of unexpected features, as the researcher would not know what to measure or look at. Routine work in this field deals then with expected emergence, i.e. emergence of features that are explicitly looked for by the researcher. If the features are not known, the only possible method is serendipity. Beyond serendipity, can emergence of development be intentionally studied? What are the intermediate steps? How to build a neural network that would make them emerge? What theory can drive this work? What methodology can be used? How to be sure that the intermediate steps chosen are the right ones? Does the choice of the intermediate steps not go against the principles of emergence?

If the recent progresses of deep learning show that neural networks are powerful machine learning tools, they are still used in a single and well identified learning process. Going one step further and developing a connectionist approach to development requires either to, at least partially, abandon emergence and turn to hybrid approaches, in which neural networks are black box modules used in a modular, Fodorian architecture or to develop a methodology that would reconcile emergence with researchers' work. The question is then do we really want to keep emergence and, if the answer is yes, how?

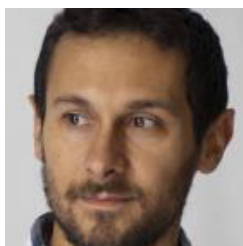
Predictive Processing in Developmental Robotics: Three Challenges

**Giovanni Pezzulo,
Martin Butz**

Predictive Processing (PP) and the closely related Free Energy Principle (FEP) foster an increasingly popular perspective on the mind, promising to integrate various theories from neuroscience, cognitive science, and philosophy (Butz et al., 2003; Butz, 2008; Clark, 2016; Friston, 2010; Friston et al., 2016; Pezzulo et al., 2015, 2008). In this respect, Tani's book is timely and intriguing: it reports the results of an ambitious research program, which applied a dynamical systems approach implemented in recurrent neural networks (RNNs) to robotics for 20 years. From our research we would like to raise three points that seem to be critical to succeed in the open-ended development of truly autonomous, artificial systems.

Balancing exploration and exploitation. FEP suggests that both epistemic drives (active

information gathering) and goal achievement may stem from a unique imperative, i.e., reducing (anticipated) free energy. FEP was shown to enable balancing these generally competitive drives, for example, by noticing that in conditions of uncertainty it is better to first pursue epistemic drives (reducing uncertainty in the relevant task dimensions) before extrinsic (utilitarian) goals can be pursued (Butz and Kutter, 2017; Friston et al., 2015), although successful applications to complex scenarios are still pending. Tani's approaches either rely on chance induced by chaotic dynamics or on teacher-based demonstrations. Thus, the open challenge remains to build scalable, autonomous systems that are able to properly balance exploration and exploitation across development, possibly supported by (genetically)



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pre-determined developmental pathways and tendencies towards curiosity and epistemic actions (Baldassarre and Mirolli, 2013; Butz and Kutter, 2017; Donnarumma et al., 2017; Oudeyer et al., 2007; Pezzulo et al., 2016; Schmidhuber, 1991).

Inductive biases for learning generative models. From a developmental perspective, a further open problem is how to guide the construction of increasingly more sophisticated, abstract generative models, such as object models or object concepts (e.g. a “container”), that build upon sensory and motor signals. At the moment, Tani’s recurrent neural networks have a predetermined hierarchical structure, partially including different temporal resolutions; but mechanisms for inferring structure automatically during development would be desirable. One example method may be an event-segmentation bias, which can be based on lasting, significant changes in the active predictive encodings. This bias may be the key to foster progressive abstractions of generative models into suitable, behavior-oriented, hierarchical event taxonomies (Butz, 2016, 2017). Additionally, factorization approaches (which are also employed by Tani’s ANNs with parametric biases) may allow the “splitting” of generative models into manageable, meaningful encodings (e.g. where, what, and

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Friston, K., FitzGerald, T., Rigoli, F., Schwartenbeck, P., Pezzulo, G., 2016. Active Inference: A Process Theory. *Neural*

when) (Butz, 2016, 2017; Verschure, et al., 2014). The involvement of inductive biases into dynamical ANNs or FEP-related systems seems to be essential to enable scalable system development in real-world, open-ended environments.

Exploiting embodiment. Tani’s models are embodied in the sense that they were applied and developed in real robots. The robot bodies and the addressed tasks were selected to be compatible. Thus, the applicability of the chosen techniques in open-ended developmental system remains as a critical challenge. Seeing that a manifold of examples exists, showing that embodiment can significantly facilitate and bootstrap cognitive development, including inferential abstraction (Butz and Kutter, 2017), an important challenge for the future is to extend Tani’s approach and FEP to combine “the best of two worlds”, that is, embodied and hierarchical cognitive inference.

Thus, while Tani’s work sets a milestone in the development of truly autonomous systems, there is still a long way to go. We believe that the integration of considerations of embodiment, inductive biases, and balancing exploration and exploitation within the general framework of PP-based robotics will be critical for success.

Comput. 29, 1–49. doi:10.1162/NECO_a_00912

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Predictive Processing in Development



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A novel analogy is taking hold in theoretical neuroscience: “Prediction is to brain as digestion is to stomach.” This analogy, provocative as it is, expresses the essence of what has become known as the Predictive Processing account (Clark, 2015). According to this account, the brain is essentially “a sophisticated hypothesis-testing mechanism, which is constantly involved in minimizing the error of its prediction of the sensory input it receives of the world” (Hohwy, 2013, p.1). Using generative internal models the brain predicts its own inputs in a cascading hierarchy of increasingly complex hypotheses about hidden states of the world. The part of the inputs that could not be correctly predicted (viz., the prediction error) is used to update the hypotheses to eventually maximize the accuracy of the internal models (Friston, 2010). Notwithstanding its empirical and theoretical successes for explaining the adult brain (Brown et al., 2011; Seth, 2013; van Pelt et al., 2016) the Predictive Processing account is lacking one key ingredient: A coherent and consistent explanation of how generative models that allow for making predictions are formed and improved in development.

Although some evidence point at the early predictive architecture of the human brain (Emberson et al., 2015; Kouider et al., 2015), there are still open issues when considering whether Predictive Processing account can explain development. How do infants use prediction errors to generate and refine models? How do prediction error minimization account for the innovative, creative part of learning: forming new concepts and associations and enriching existing models with contextual dependencies? Can individual differences in infant learning be explained in terms of different parameters or strategies in prediction error minimization?

Despite big questions, some concepts in the framework might be tailored to explain infant development. For example, although, mathematically, minimizing free energy is equivalent to maximizing the accuracy of the models (Friston et al., 2016), one would argue that the latter better describes infant behavior. Observing natural infant locomotion would simply speak for this argument. Infants around 12 to 19-months take 2367.6 steps and fall 17.4 times per hour (Adolph et al., 2012). One would wonder why infants would repeatedly try to take steps, as each try would presumably elicit prediction errors, perhaps, until they master the skill. However, if the behavior were driven by the goal of maximizing the accuracy of the internal models, this would potentially better explain what drives infants’ behavior. Relatedly, exploration and curiosity, which are known to be crucial to infant learning and development (Oudeyer & Smith, 2016), might also be addressed by the aim of maximizing the accuracy of the world models.

Future directions

Providing theoretical, empirical, and computational evidence on whether and how the Predictive Processing framework could explain infant learning and development would pave the way to a novel and interdisciplinary research genre, drawing upon the joint experience of theoretical neuroscientists, developmental roboticists, and developmental researchers. Not only would such research inform developmental scientists to understand infant behavior and brain function, but also it will enrich the Predictive Processing framework to explain how generative models are developed, which is currently underspecified in the framework. Among others, these important questions are awaiting answers in the future.

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Predictive Coding, Active Inference and Sentient Robots



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Jun Tani touches on many intriguing points. I will focus on three of my favourite; namely: *generative models of the future*, *hierarchical inference* and the *poverty of stimulus* challenge. Before expanding on his observations, I want to set the scene for this focus:

I fully concur with Jun that hierarchical inference under generative models—implicit in predictive coding—is the way forward in developmental neurorobotics, and perhaps generalised artificial intelligence. However, predictive coding, in and of itself, is only part of the story. One could argue that any recognition scheme that uses back propagation of prediction errors falls under the rubric of predictive coding (e.g., hierarchical or deep convolution networks). However, the recognition problem is almost trivial in relation to the problem faced by neurorobotics. The real problem is not how to recognise the causes of sensor data but *how to select the data that best discloses its causes*. In my world, this is referred to as active inference (Friston et al., 2015); namely, the bilateral use of action and perception to navigate an uncertain world. In short, active (hierarchical Bayesian) inference may entail predictive coding but not vice versa.

Predicting the future

If one puts action into the mix, a whole world of ‘planning as inference’ emerges (Attias, 2003; Botvinick and Toussaint, 2012; Mirza et al., 2016); which begs the question; “how do we do predictive coding of the future”? If one subscribes to generative modelling, the answer is clear: one has to have generative models of the future. This is becoming increasingly clear in theoretical neuroscience, where epistemic behaviour is a natural consequence of Bayesian inference, under prior beliefs about the consequences of action (Friston et al., 2015). Furthermore, several nice devices present themselves for use in robotics. For example, one can cast a policy selection as Bayesian model selection (based upon the marginal likelihoods of policies that treat future outcomes as hidden states). This has the fundamental advantage of covering epistemics and intrinsic motivation (Oudeyer and Kaplan, 2007); namely, behaving in a

way that reduces uncertainty through sampling salient sensory cues—or engaging in novel behaviours to discover “what happens if I do this” (Schmidhuber, 2006). In brief, the minimisation of expected free energy or maximisation of expected model evidence leads naturally to self-organisation and self-evidencing (Hohwy, 2016). In short:

“Robots based on just sensorimotor mapping schemes cannot achieve human level thinking because they should be much more proactive towards the future.”

Temporal thickness and counterfactual depth

The second theme follows naturally from models that generate future outcomes—that necessarily entail deep or hierarchical structure. These models induce a separation of timescales in the ensuing recognition dynamics (Tani et al., 2004), which speaks to the temporal thickness or counterfactual depth of representations that drive epistemic behaviour (Seth, 2014). In virtue of the fact that all hierarchical inference involves belief propagation (i.e. variational message passing), it seems obvious to me that the use of a recurrent neural network is necessary—because the message passing required in belief propagation cannot, by definition, be reduced to:

“A system composed of patchy assemblies of different computational schemes.”

Big data or big ideas?

A generative model that entertains different hypotheses about unfolding dynamics also speaks to the “poverty of stimulus” problem. I wholeheartedly agree with Jun that current trends towards big data and deep learning are heading in the wrong direction. To simulate epistemic foraging in sentient robots, we need to understand how they make inferences to the best explanation through a process of abduction and active inference. In other words, how can the implicit hypotheses and models entertained by a robot make use of sparse—if carefully sampled—data. In neuroscience, this is akin to trying to understand the fundamental nature of insight and aha moments. If we can formalise and reproduce this in robots I suspect that the poverty of stimulus problem will be rapidly dissolved.

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Response to Commentaries on “Exploring Robotic Minds by Predictive Coding Principle”



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Thanks to all for the inspiring dialog. My brief response will focus on two themes. One concerns the role of meta-priors for bridging the gap between deterministic and probabilistic processing within the predictive coding framework. The other concerns development in terms of co-emergent phenomena.

Friston focuses on solving the “poverty of stimulus” and related problems, noting that active (hierarchical Bayesian) inference may entail predictive coding, but not vice versa. Clark notes that precision-weighting reflects the self-estimated reliability of specific prediction error signals for a given task in a given context. These comments are exactly right. Prior predictive coding RNNs based on deterministic dynamic systems afforded neither active Bayesian inference nor precision estimation in prediction. We have been bridging deterministic and probabilistic predictive coding using variational Bayes RNNs (Murata et al., 2015; Ahmadi and Tani, 2017). Ahmadi and Tani (2017) proposes the variational Bayes predictive coding MTRNN (VBP-MTRNN) characterized by maximizing the lower bound (negative free energy) represented by a weighted sum of the regularization term (which becomes larger when the posterior distribution of the latent variable becomes closer to its prior (given as a normal distribution))—and the likelihood term (which becomes larger by minimizing the reconstruction error). Summarily, this weighting plays the role of a meta-prior determining the quality of learned structures, affecting the learning of fluctuated temporal patterns. Heavy weighting of the regularization term causes the development of stochastic dynamics imitating probabilistic processes observed in target patterns and also makes active inference less effective because error propagates only weakly. On the other hand, simulations show that heavy weighting of the likelihood term causes the development of deterministic chaos for imitating the randomness observed in target sequences, resulting in rote learning according to the strong top-down prior. It was found that generalization in learning can be maximized between these two extremes. Crucially, in this work we see that as predictive coding models have developed from 1st order prediction, 2nd order (precision prediction), and to 3rd order including the meta-prior discussed here. It is noted that, whatever higher-order the system seeks, the settings of priors or meta-priors determine behavior so long as the Bayesian framework is used.

The simulations above may afford insight into

the mechanisms underlying autism spectrum disorders (ASD). Van de Cruys et al (2014) have suggested that ASD might be caused by overly strong top-down prior potentiation to minimize prediction error, which can enhance capacities for rote learning while losing the capacity to generalize what is learned, a pathology typical of ASD. The proposed model naturally reflects such pathology with the likelihood weighted above a threshold. Furthermore, this model may afford insight into mechanisms underlying spontaneous or free action. The meta-prior arbitrates between deterministic chaos and externally sampled noise in the generation of action. Arbitration by such a meta-prior at each level in the hierarchy may thus be involved in balancing homeostatic control in the lower level with goal-directed control in the higher level (Pezzulo et al., 2015).

Next, let’s consider how robotic experiments using predictive coding or free energy minimization help to understand infant development. There is a mix of optimism and pessimism on this issue. Most commentators consider curiosity-driven exploration in terms of minimizing prediction error with maximal slope (e.g., Butz and Kutter, 2017; Marshall et al., 2004; Oudeyer and Smith, 2016) to be one way to stage development from easy to difficult. If this mechanism is implemented in the aforementioned VBP-MTRNN, the balancing between more probabilistic exploration and more deterministic exploitation might be arbitrated by the meta-prior value of the weighting. Then, the question again arises - How to modulate this meta-prior in the course of development?

As recent neuroscience studies suggest, critical periods in development arise due to interactions between innate structures and epigenetic experiences, and cannot be explained with just “two words”—embodiment and hierarchical inference. For example, Takesian and Hensch (2013) suggest that the onset of the critical period in visual cortex development is determined by the maturation of specific GABA circuits balancing excitatory and inhibitory neural activity, whereas molecular “brakes” (often extracellular) close this window and limit further “rewiring”. A third term is necessary, innate structure.

How might we understand the developmental process systematically, in terms of a triplet interaction between innate structure, embodiment, and hierarchical cognitive inference? One proposal is to conduct synthetic experiments scaled up to the evolution of

genomes over generations of neuronal learning as implemented in hundreds of interacting robots, in which evolving genomes provide contextual parametric constraints on neural structures and their functions during development. Meta-priors contextually regulating the developmental process—autonomously balancing between exploration and exploitation,

shifting from homeostatic control in earlier stages to goal-directed control in later stages, or starting and closing of critical period for each modality—may emerge through this triplet interaction, and in this way we may investigate—what Friston calls—“the fundamental nature of insight and aha moments”.

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